

§ 40.47—Use of non-Federal forms for DOT tests or Federal CCFs for non-DOT tests.
 § 40.67—Requirements for direct observation.
 §§ 40.103–40.105—Blind specimen requirements.
 § 40.173—Responsibility to ensure test of split specimen.
 § 40.193—Action in “shy bladder” situations.
 § 40.197—Actions following report of a dilute specimen.
 § 40.207—Actions following a report of a cancelled drug test.
 § 40.209—Actions following and consequences of non-fatal flaws in drug tests.
 § 40.215—Information about DERs that employers must provide BATs and STTs.
 § 40.225—Modifying ATFs; use of foreign-language ATFs.
 § 40.227—Use of non-DOT forms for DOT tests or DOT ATFs for non-DOT tests.
 § 40.235 (c) and (d)—responsibility to follow instructions for ASDs.
 § 40.255 (b)—receipt and storage of alcohol test information.
 § 40.265 (c)–(e)—actions in “shy lung” situations.
 § 40.267—Cancellation of alcohol tests.
 § 40.271—Actions in “correctable flaw” situations in alcohol tests.
 § 40.273—Actions following cancelled tests in alcohol tests.
 § 40.275—Actions in “non-fatal flaw” situations in alcohol tests.
 §§ 40.287–40.289—Responsibilities concerning SAP services.
 §§ 40.295–40.297—Prohibition on seeking second SAP evaluation or changing SAP recommendation.
 § 40.303—Responsibilities concerning aftercare recommendations.
 § 40.305—Responsibilities concerning return-to-duty decision.
 § 40.309—Responsibilities concerning follow-up tests.
 § 40.321—General confidentiality requirement.
 § 40.323—Release of confidential information in litigation.
 § 40.331—Other circumstances for the release of confidential information.
 § 40.333—Record retention requirements.
 § 40.345—Choice of who reports drug testing information to employers.

[65 FR 79526, Dec. 19, 2000. Redesignated at 66 FR 41950, Aug. 9, 2001]

Subpart C—Urine Collection Personnel

§ 40.31 Who may collect urine specimens for DOT drug testing?

(a) Collectors meeting the requirements of this subpart are the only persons authorized to collect urine specimens for DOT drug testing.

(b) A collector must meet training requirements of § 40.33.

(c) As the immediate supervisor of an employee being tested, you may not act as the collector when that employee is tested, unless no other collector is available and you are permitted to do so under DOT agency drug and alcohol regulations.

(d) You must not act as the collector for the employee being tested if you work for a HHS-certified laboratory (*e.g.*, as a technician or accessioner) and could link the employee with a urine specimen, drug testing result, or laboratory report.

§ 40.33 What training requirements must a collector meet?

To be permitted to act as a collector in the DOT drug testing program, you must meet each of the requirements of this section:

(a) *Basic information.* You must be knowledgeable about this part, the current “DOT Urine Specimen Collection Procedures Guidelines,” and DOT agency regulations applicable to the employers for whom you perform collections, and you must keep current on any changes to these materials. The DOT Urine Specimen Collection Procedures Guidelines document is available from ODAPC (Department of Transportation, 1200 New Jersey Avenue, SE., Washington DC, 20590, 202-366-3784, or on the ODAPC web site (<http://www.dot.gov/ost/dapc>)).

(b) *Qualification training.* You must receive qualification training meeting the requirements of this paragraph. Qualification training must provide instruction on the following subjects:

(1) All steps necessary to complete a collection correctly and the proper completion and transmission of the CCF;

(2) “Problem” collections (*e.g.*, situations like “shy bladder” and attempts to tamper with a specimen);

(3) Fatal flaws, correctable flaws, and how to correct problems in collections; and

(4) The collector’s responsibility for maintaining the integrity of the collection process, ensuring the privacy of employees being tested, ensuring the security of the specimen, and avoiding